FORM PTO-1390

U.S. Department of Commerce Petent and Trademark Office

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

Attorney's Docket No.

2732-167

U.S. Application No. (if known, see \$7 CFR 1.5)

10/528,118

INTERNATIONAL APPLICATION NO. PCT/EP2003/10237

INTERNATIONAL FILING DATE September 15, 2003

PRIORITY DATE CLAIMED September 17, 2002

TITLE OF INVENTION

METHOD AND CHECKING DEVICE FOR CHECKING DOCUMENTS OF VALUE

# APPLICANT(S) FOR DO/EO/US

Norbert HOLL

Applicant herewith submits to the United States	Designated/Elected	Office (DO/EO/US)	the following items	and other
information:				

- This is a FIRST submission of items concerning a filing under 35 U.S.C. 371
- [X] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 2.
- [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must 3. include items (5), (6), (9) and (21) indicated below.
- [ ] The US has been elected (Article 31).
- [ ] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [ ] is attached hereto (required only if not communicated by the International Bureau).
  - has been communicated by the International Bureau.
  - is not required, as the application was filed in the United States Receiving Office (RO/US)
- An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
  - a. [ ] is attached hereto.
  - b. [ ] has been previously submitted under 35 U.S.C. 154(d)(4).
- ] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - are attached hereto (required only if not communicated by the International Bureau).
  - have been communicated by the International Bureau.
  - c. [ ] have not been made; however, the time limit for making such amendments has NOT expired.
  - have not been made and will not be made.
- An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- [X] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## ITEMS 11. TO 20. below concern other document(s) or information included:

- An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is 12. [ included.
- A preliminary amendment w/Substitute Specification (marked-up and clean versions). 13.
- An Application Data Sheet under 37 CFR 1.76. 14.
- A substitute specification.
- A power of attorney and/or address letter.
- A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821-17.
- A second copy of the published international application under 35 U.S.C. 154(d)(4).
- A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
- 20. [X ] Other items or information: Notice

	U.S. APPLICATION NO. (If known 10/528,118	J.S. APPLICATION NO. (If known, see 37 CFR 1.50) INTERNATIONAL APPLICATION NO. PCT/EP2003/10237		ATTORNEY DOCKET NO. 2732-167		
	21. [ ] The following for	ees are submitted:			CALCULATIONS	PTO USE ONLY
	Basic National Fee			\$ 300.00		
	Examination Fee (All other situation			\$ 200.00		
	Search Fee (IPER prepared and pro	ovided to the Office)		\$ 400.00		
		]	ENTER APPROPRIATE BA	ASIC FEE AMOUNT =	\$	
	Surcharge of \$130.00 for months from the earliest			an[]20 [X]30	\$130.00	
	Claims	Number Filed	Number Extra	Rate		
	Total Claims	23-20 =		X \$50.00	\$	
	Independent Claims	2-3 =		X \$200.00	\$	
	Multiple dependent cl	aim(s) (if applica	ble)	+ \$360.00	\$	
		T	OTAL OF ABOVE CA	ALCULATIONS =	\$	
	Applicant claims s indicated above ar		s. See 37 CFR 1.27.	The fees	\$	
				SUBTOTAL =	\$130.00	
	Processing fee of \$130.00 months from the earliest			er than [ ] 20 [ ] 30 +	\$	
			TOTAL N	ATIONAL FEE =	\$130.00	
	Fee for recording the encl accompanied by an appro				\$	
			TOTAL FE	ES ENCLOSED =	\$	
02/07/20 01 FC:16	6 LLANDGRA 00000006 022135 7 130.00 DA	10528118			Amount to be refunded	\$
					charged	\$130.00
	b. X Please charge A duplicate of the Commis	e my Deposit Acc copy of this sheet sioner is hereby a	to cover the ount No. 02-2135 in is enclosed. authorized to charge sit Account No. 02-21	the amount of \$130 any additional fees	.00 to cover the alwhich may be req	uired, or
	NOTE: Where an appr (37 CFR 1.137(a) or (b)	opriate time lim ) must be filed ar	it under 37 CFR 1.49 nd granted to restore	4 or 1.495 has not l the application to	been met, a petition pending status.	on to revive
	SEND ALL CORRESPONI	DENCE TO:		Sof R. Rype.		
	Customer No. 6449			Signature	_ <del></del>	
:	Rothwell, Figg, Ernst & Manh 1425 K St., N.W.	oeck		George R. Repper Name		
	Washington, D.C. 20005 Phone: 202/783-6040			31,414 Registration Number	er	

01



64

SUITE 800

## United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P. O. Sox 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATT	Y. DOCKET NO.
10/528,118	Norbert Holl		2732-167
		INTERNATIONAL AP	PLICATION NO.
		PCT/EP03	10237
6449		I.A. FILING DATE	PRIORITY DATE
ROTHWELL, FIGG, ERNST & MANBECK, P.C. 1425 K STREET. N.W.	_	09/15/2003	09/17/2002

WASHINGTON, DC 20005 **CONFIRMATION NO. 8956 371 FORMALITIES LETTER** 

\*OC00000017585047\*

Date Mailed: 12/06/2005

## NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

Copy of the International Application filed on 03/16/2005

English Translation of the IA filed on 03/16/2005

Copy of the International Search Report filed on 03/16/2005

Copy of IPE Report filed on 03/16/2005

- Preliminary Amendments filed on 03/16/2005
- Information Disclosure Statements filed on 03/16/2005
- U.S. Basic National Fees filed on 03/16/2005
- Substitute Specification filed on 03/16/2005
- Priority Documents filed on 03/16/2005

RECEIVED/FACILITIES ROTHWELL FIGG ERNST & MANBECK NO. 2732 - 167 FILE NO ... FAC PROC. INIT.

DEC - 8 2005

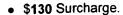
The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

### SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:



ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

#### SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

## PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY, DOCKET NO
10/528,118	PCT/EP03/10237	2732-167

FORM PCT/DO/EO/905 (371 Formalities Notice)